

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Seung Ki Joo, et al.
Serial No. 10/718,141
Filing Date: November 20, 2003
Title: METHOD AND APPARATUS FOR FABRICATING THIN
FILM TRANSISTOR INCLUDING CRYSTALLINE ACTIVE
LAYER

Honorable
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

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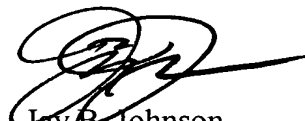
01-21-04
Date

**TRANSMITTAL OF VERIFIED STATEMENT
CLAIMING SMALL ENTITY STATUS**

A Verified Statement Claiming Small Entity Status (37 C.F.R. 1.27(a)(2))

Small Business Concern is transmitted herewith for filing in the above-identified patent
application.

Respectfully submitted,
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Applicant or Patentee: Joo, et al.
Serial or Patent No. 10/718,141
Filed or Issued: November 20, 2003

Attorney's Docket: 064977.0132
(10092.11012 DIV)

TITLE: METHOD AND APPARATUS FOR FABRICATING THIN FILM TRANSISTOR
INCLUDING CRYSTALLINE ACTIVE LAYER

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a)(2)) – SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: Seung Ki Joo
Address of Small Business Concern: 203-101, Bundang Chungang Heights
Second Complex, 369-19 Gungnae-Dong
Bundang-Gu Seongnam-Si
Kyunggi-do, Republic of Korea

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121, and in 37 CFR 1.27(a), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either one concern controls, directly or indirectly, or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled *Method and Apparatus for Fabricating Thin Film Transistor Including Crystalline Active Layer* by inventors Seung Ki Joo and Seok-Woon Lee, described in the specification filed on November 20, 2003, Serial No. 10/718,141

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3):

NONE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing:
Title of Person if other than owner:
Address

Seung Ki Joo
203-101, Bundang Chungang Heights
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Signature:

Date:

December 30, 2003